

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L67120MH1993PLC074278

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACL0882G

(ii) (a) Name of the company

LADDERUP FINANCE LIMITED

(b) Registered office address

A-102, HALLMARK BUSINESS PLAZA, SANT DYANESHWAR
MARG, OPPOSITE GURU NANAK HOSPITAL, BANDRA EAST
MUMBAI
Mumbai City
Maharashtra
400051



(c) *e-mail ID of the company

info@ladderup.com

(d) *Telephone number with STD code

02242466363

(e) Website

(iii) Date of Incorporation

01/10/1993

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	Bombay Stock Exchange	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1


S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

3

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Ladderup Wealth Management 	U74140MH2008PTC177491	Subsidiary	51
2	WATER PROOF CORPORATION 	U17298MH1942PTC003635	Associate	50

3	ANNAPURNA PET PRIVATE LIM	U25203MH2011PTC218187	Associate	49.18
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IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	15,000,000	12,852,600	12,852,600	12,852,600
Total amount of equity shares (in Rupees)	150,000,000	128,526,000	128,526,000	128,526,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	15,000,000	12,852,600	12,852,600	12,852,600
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	150,000,000	128,526,000	128,526,000	128,526,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	516,600	12,336,000	12852600	128,526,000	128,526,000	
Increase during the year	0	0	0	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	516,600	12,336,000	12852600	128,526,000	128,526,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0

iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

16,451,492

(ii) Net worth of the Company

550,195,841

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,015,937	7.9	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	6,070,897	47.23	0	
10.	Others	0	0	0	
	Total	7,086,834	55.13	0	0

Total number of shareholders (promoters)

10

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,991,265	15.49	0	
	(ii) Non-resident Indian (NRI)	61,760	0.48	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	

4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,652,430	28.42	0	
10.	Others IEPF	60,311	0.47	0	
	Total	5,765,766	44.86	0	0

Total number of shareholders (other than promoters)

870

**Total number of shareholders (Promoters+Public/
Other than promoters)**

880

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	833	870
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	1	1	1	0.84	0.97
B. Non-Promoter	0	6	0	5	0	0
(i) Non-Independent	0	2	0	1	0	0
(ii) Independent	0	4	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0

(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	1	6	0.84	0.97

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Sunil Goyal	00503570	Managing Director	108,369	
Manoj Singrodia	01501529	Director	124,193	
HARSHA SAKSENA	01736469	Director	0	12/08/2021
MOHAN VASANT TANIP	02971181	Director	0	
VENKATESWARA RAO	05273533	Director	0	
MANGALA RADHAKRISHN	06450659	Director	0	
SAURABH MAHESH SHARMA	07969125	Director	0	
Dhiraj Ramasaw Gupta	ASKPG9883B	Company Secretar	0	
SURESH KUMAR KUMAR	BIAPS0710E	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
K.V.S SHYAM SUNDAR	00502621	Director	15/01/2021	Cessation
Mangala Prabhu	06450659	Director	10/02/2021	Change in Designation
Paresh Patil	BCSPP7780B	Company Secretar	18/07/2020	Cessation
Dhiraj RamShaw Gupta	ASKPG9883B	Company Secretar	20/07/2020	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/09/2020	847	36	

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	18/07/2020	8	8	100
2	14/09/2020	8	8	100
3	11/11/2020	8	8	100
4	10/02/2021	7	7	100

C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	18/07/2020	5	5	100
2	Audit Committee	14/09/2020	5	5	100
3	Audit Committee	11/11/2020	5	5	100
4	Audit Committee	10/02/2021	4	4	100
5	Stakeholders (C)	18/07/2020	3	3	100
6	Stakeholders (C)	14/09/2020	3	3	100
7	Stakeholders (C)	11/11/2020	3	3	100
8	Stakeholders (C)	10/02/2021	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
9	Nomination an	18/07/2020	3	3	100
10	orporate Socia	10/02/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								(Y/N/NA)
1	Sunil Goyal	4	4	100	11	11	100	
2	Manoj Singroc	4	4	100	4	4	100	
3	HARSHA SAK	4	4	100	5	5	100	
4	MOHAN VASA	4	4	100	8	8	100	
5	VENKATESW	4	4	100	5	5	100	
6	MANGALA RA	4	4	100	4	4	100	
7	SAURABH MA	4	4	100	3	3	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sunil Goyal	Managing Direct	7,800,000	0	0	0	7,800,000
	Total		7,800,000	0	0	0	7,800,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dhiraj Gupta	Company Secre	556,712	0	0	0	556,712
2	Suresh Kumawat	CFO	1,200,000	0	0	0	1,200,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	Paresh Patil	Company Secre	0	0	0	0	0
	Total		1,756,712	0	0	0	1,756,712

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mrs. Mangala Prabh	Independent Dir	0	0	0	100,000	100,000
2	ENKATESWARA R.	Independent Dir	0	0	0	100,000	100,000
3	MOHAN VASANT T	Independent Dir	0	0	0	100,000	100,000
4	Mr. Harsha Saksena	Independent Dir	0	0	0	100,000	100,000
5	MANOJ SINGRODI	Non-Executive [0	0	0	0	0
6	Saurabh Sarayan	Non-Executive [0	0	0	0	0
7	K.V.S SHYAM SUN	Non-Executive [0	0	0	75,000	75,000
	Total		0	0	0	475,000	475,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Priti Nikhil Jajodia

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

19900

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

00503570

To be digitally signed by

- ☒ Company Secretary
- ☐ Company secretary in practice

Membership number

47161

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

Draft Form MGT 8_ LFL_ PDF.pdf

List of shareholders.pdf

Committee Meetings.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Project: [Project Name]

Report generated on: 2024-10-27 10:30:15

Item ID	Item Name	Category	Sub-Category	Unit	Quantity	Unit Price	Total Price	Supplier	Supplier Address	Supplier Phone	Supplier Email	Supplier Website	Supplier Rating	Supplier Status	Supplier Type	Supplier Country	Supplier City	Supplier State	Supplier Zip	Supplier Latitude	Supplier Longitude	Supplier Notes
1	Item 1.1	Category 1	Sub-Category 1.1	Unit 1	100	1.00	100.00	Supplier 1	Address 1	Phone 1	Email 1	Website 1	Rating 1	Status 1	Type 1	Country 1	City 1	State 1	Zip 1	Latitude 1	Longitude 1	Notes 1
2	Item 1.2	Category 1	Sub-Category 1.2	Unit 2	200	2.00	400.00	Supplier 2	Address 2	Phone 2	Email 2	Website 2	Rating 2	Status 2	Type 2	Country 2	City 2	State 2	Zip 2	Latitude 2	Longitude 2	Notes 2
3	Item 1.3	Category 1	Sub-Category 1.3	Unit 3	300	3.00	900.00	Supplier 3	Address 3	Phone 3	Email 3	Website 3	Rating 3	Status 3	Type 3	Country 3	City 3	State 3	Zip 3	Latitude 3	Longitude 3	Notes 3
4	Item 1.4	Category 1	Sub-Category 1.4	Unit 4	400	4.00	1600.00	Supplier 4	Address 4	Phone 4	Email 4	Website 4	Rating 4	Status 4	Type 4	Country 4	City 4	State 4	Zip 4	Latitude 4	Longitude 4	Notes 4
5	Item 1.5	Category 1	Sub-Category 1.5	Unit 5	500	5.00	2500.00	Supplier 5	Address 5	Phone 5	Email 5	Website 5	Rating 5	Status 5	Type 5	Country 5	City 5	State 5	Zip 5	Latitude 5	Longitude 5	Notes 5
6	Item 1.6	Category 1	Sub-Category 1.6	Unit 6	600	6.00	3600.00	Supplier 6	Address 6	Phone 6	Email 6	Website 6	Rating 6	Status 6	Type 6	Country 6	City 6	State 6	Zip 6	Latitude 6	Longitude 6	Notes 6
7	Item 1.7	Category 1	Sub-Category 1.7	Unit 7	700	7.00	4900.00	Supplier 7	Address 7	Phone 7	Email 7	Website 7	Rating 7	Status 7	Type 7	Country 7	City 7	State 7	Zip 7	Latitude 7	Longitude 7	Notes 7
8	Item 1.8	Category 1	Sub-Category 1.8	Unit 8	800	8.00	6400.00	Supplier 8	Address 8	Phone 8	Email 8	Website 8	Rating 8	Status 8	Type 8	Country 8	City 8	State 8	Zip 8	Latitude 8	Longitude 8	Notes 8
9	Item 1.9	Category 1	Sub-Category 1.9	Unit 9	900	9.00	8100.00	Supplier 9	Address 9	Phone 9	Email 9	Website 9	Rating 9	Status 9	Type 9	Country 9	City 9	State 9	Zip 9	Latitude 9	Longitude 9	Notes 9
10	Item 1.10	Category 1	Sub-Category 1.10	Unit 10	1000	10.00	10000.00	Supplier 10	Address 10	Phone 10	Email 10	Website 10	Rating 10	Status 10	Type 10	Country 10	City 10	State 10	Zip 10	Latitude 10	Longitude 10	Notes 10
11	Item 2.1	Category 2	Sub-Category 2.1	Unit 11	1100	11.00	12100.00	Supplier 11	Address 11	Phone 11	Email 11	Website 11	Rating 11	Status 11	Type 11	Country 11	City 11	State 11	Zip 11	Latitude 11	Longitude 11	Notes 11
12	Item 2.2	Category 2	Sub-Category 2.2	Unit 12	1200	12.00	14400.00	Supplier 12	Address 12	Phone 12	Email 12	Website 12	Rating 12	Status 12	Type 12	Country 12	City 12	State 12	Zip 12	Latitude 12	Longitude 12	Notes 12
13	Item 2.3	Category 2	Sub-Category 2.3	Unit 13	1300	13.00	16900.00	Supplier 13	Address 13	Phone 13	Email 13	Website 13	Rating 13	Status 13	Type 13	Country 13	City 13	State 13	Zip 13	Latitude 13	Longitude 13	Notes 13
14	Item 2.4	Category 2	Sub-Category 2.4	Unit 14	1400	14.00	19600.00	Supplier 14	Address 14	Phone 14	Email 14	Website 14	Rating 14	Status 14	Type 14	Country 14	City 14	State 14	Zip 14	Latitude 14	Longitude 14	Notes 14
15	Item 2.5	Category 2	Sub-Category 2.5	Unit 15	1500	15.00	22500.00	Supplier 15	Address 15	Phone 15	Email 15	Website 15	Rating 15	Status 15	Type 15	Country 15	City 15	State 15	Zip 15	Latitude 15	Longitude 15	Notes 15
16	Item 2.6	Category 2	Sub-Category 2.6	Unit 16	1600	16.00	25600.00	Supplier 16	Address 16	Phone 16	Email 16	Website 16	Rating 16	Status 16	Type 16	Country 16	City 16	State 16	Zip 16	Latitude 16	Longitude 16	Notes 16
17	Item 2.7	Category 2	Sub-Category 2.7	Unit 17	1700	17.00	28900.00	Supplier 17	Address 17	Phone 17	Email 17	Website 17	Rating 17	Status 17	Type 17	Country 17	City 17	State 17	Zip 17	Latitude 17	Longitude 17	Notes 17
18	Item 2.8	Category 2	Sub-Category 2.8	Unit 18	1800	18.00	32400.00	Supplier 18	Address 18	Phone 18	Email 18	Website 18	Rating 18	Status 18	Type 18	Country 18	City 18	State 18	Zip 18	Latitude 18	Longitude 18	Notes 18
19	Item 2.9	Category 2	Sub-Category 2.9	Unit 19	1900	19.00	36100.00	Supplier 19	Address 19	Phone 19	Email 19	Website 19	Rating 19	Status 19	Type 19	Country 19	City 19	State 19	Zip 19	Latitude 19	Longitude 19	Notes 19
20	Item 2.10	Category 2	Sub-Category 2.10	Unit 20	2000	20.00	40000.00	Supplier 20	Address 20	Phone 20	Email 20	Website 20	Rating 20	Status 20	Type 20	Country 20	City 20	State 20	Zip 20	Latitude 20	Longitude 20	Notes 20
21	Item 3.1	Category 3	Sub-Category 3.1	Unit 21	2100	21.00	44100.00	Supplier 21	Address 21	Phone 21	Email 21	Website 21	Rating 21	Status 21	Type 21	Country 21	City 21	State 21	Zip 21	Latitude 21	Longitude 21	Notes 21
22	Item 3.2	Category 3	Sub-Category 3.2	Unit 22	2200	22.00	48400.00	Supplier 22	Address 22	Phone 22	Email 22	Website 22	Rating 22	Status 22	Type 22	Country 22	City 22	State 22	Zip 22	Latitude 22	Longitude 22	Notes 22
23	Item 3.3	Category 3	Sub-Category 3.3	Unit 23	2300	23.00	52900.00	Supplier 23	Address 23	Phone 23	Email 23	Website 23	Rating 23	Status 23	Type 23	Country 23	City 23	State 23	Zip 23	Latitude 23	Longitude 23	Notes 23
24	Item 3.4	Category 3	Sub-Category 3.4	Unit 24	2400	24.00	57600.00	Supplier 24	Address 24	Phone 24	Email 24	Website 24	Rating 24	Status 24	Type 24	Country 24	City 24	State 24	Zip 24	Latitude 24	Longitude 24	Notes 24
25	Item 3.5	Category 3	Sub-Category 3.5	Unit 25	2500	25.00	62500.00	Supplier 25	Address 25	Phone 25	Email 25	Website 25	Rating 25	Status 25	Type 25	Country 25	City 25	State 25	Zip 25	Latitude 25	Longitude 25	Notes 25
26	Item 3.6	Category 3	Sub-Category 3.6	Unit 26	2600	26.00	67600.00	Supplier 26	Address 26	Phone 26	Email 26	Website 26	Rating 26	Status 26	Type 26	Country 26	City 26	State 26	Zip 26	Latitude 26	Longitude 26	Notes 26
27	Item 3.7	Category 3	Sub-Category 3.7	Unit 27	2700	27.00	72900.00	Supplier 27	Address 27	Phone 27	Email 27	Website 27	Rating 27	Status 27	Type 27	Country 27	City 27	State 27	Zip 27	Latitude 27	Longitude 27	Notes 27
28	Item 3.8	Category 3	Sub-Category 3.8	Unit 28	2800	28.00	78400.00	Supplier 28	Address 28	Phone 28	Email 28	Website 28	Rating 28	Status 28	Type 28	Country 28	City 28	State 28	Zip 28	Latitude 28	Longitude 28	Notes 28
29	Item 3.9	Category 3	Sub-Category 3.9	Unit 29	2900	29.00	84100.00	Supplier 29	Address 29	Phone 29	Email 29	Website 29	Rating 29	Status 29	Type 29	Country 29	City 29	State 29	Zip 29	Latitude 29	Longitude 29	Notes 29
30	Item 3.10	Category 3	Sub-Category 3.10	Unit 30	3000	30.00	90000.00	Supplier 30	Address 30	Phone 30	Email 30	Website 30	Rating 30	Status 30	Type 30	Country 30	City 30	State 30	Zip 30	Latitude 30	Longitude 30	Notes 30
31	Item 4.1	Category 4	Sub-Category 4.1	Unit 31	3100	31.00	96100.00	Supplier 31	Address 31	Phone 31	Email 31	Website 31	Rating 31	Status 31	Type 31	Country 31	City 31	State 31	Zip 31	Latitude 31	Longitude 31	Notes 31
32	Item 4.2	Category 4	Sub-Category 4.2	Unit 32	3200	32.00	102400.00	Supplier 32	Address 32	Phone 32	Email 32	Website 32	Rating 32	Status 32	Type 32	Country 32	City 32	State 32	Zip 32	Latitude 32	Longitude 32	Notes 32
33	Item 4.3	Category 4	Sub-Category 4.3	Unit 33	3300	33.00	108900.00	Supplier 33	Address 33	Phone 33	Email 33	Website 33	Rating 33	Status 33	Type 33	Country 33	City 33	State 33	Zip 33	Latitude 33	Longitude 33	Notes 33
34	Item 4.4	Category 4	Sub-Category 4.4	Unit 34	3400	34.00	115600.00	Supplier 34	Address 34	Phone 34	Email 34	Website 34	Rating 34	Status 34	Type 34	Country 34	City 34	State 34	Zip 34	Latitude 34	Longitude 34	Notes 34
35	Item 4.5	Category 4	Sub-Category 4.5	Unit 35	3500	35.00	122500.00	Supplier 35	Address 35	Phone 35	Email 35	Website 35	Rating 35	Status 35	Type 35	Country 35	City 35	State 35	Zip 35	Latitude 35	Longitude 35	Notes 35
36	Item 4.6	Category 4	Sub-Category 4.6	Unit 36	3600	36.00	129600.00	Supplier 36	Address 36	Phone 36	Email 36	Website 36	Rating 36	Status 36	Type 36	Country 36	City 36	State 36	Zip 36	Latitude 36	Longitude 36	Notes 36
37	Item 4.7	Category 4	Sub-Category 4.7	Unit 37	3700	37.00	136900.00	Supplier 37	Address 37	Phone 37	Email 37	Website 37	Rating 37	Status 37	Type 37	Country 37	City 37	State 37	Zip 37	Latitude 37	Longitude 37	Notes 37
38	Item 4.8	Category 4	Sub-Category 4.8	Unit 38	3800	38.00	144400.00	Supplier 38	Address 38	Phone 38	Email 38	Website 38	Rating 38	Status 38	Type 38	Country 38	City 38	State 38	Zip 38	Latitude 38	Longitude 38	Notes 38
39	Item 4.9	Category 4	Sub-Category 4.9	Unit 39	3900	39.00	152100.00	Supplier 39	Address 39	Phone 39	Email 39	Website 39	Rating 39	Status 39	Type 39	Country 39	City 39	State 39	Zip 39	Latitude 39	Longitude 39	Notes 39
40	Item 4.10	Category 4	Sub-Category 4.10	Unit 40	4000	40.00	160000.00	Supplier 40	Address 40	Phone 40	Email 40	Website 40	Rating 40	Status 40	Type 40	Country 40	City 40	State 40	Zip 40	Latitude 40	Longitude 40	Notes 40
41	Item 5.1	Category 5	Sub-Category 5.1	Unit 41	4100	41.00	168100.00	Supplier 41	Address 41	Phone 41	Email 41	Website 41	Rating 41	Status 41	Type 41	Country 41	City 41	State 41	Zip 41	Latitude 41	Longitude 41	Notes 41
42	Item 5.2	Category 5	Sub-Category 5.2	Unit 42	4200	42.00	176400.00	Supplier 42	Address 42	Phone 42	Email 42	Website 42	Rating 42	Status 42	Type 42	Country 42	City 42	State 42	Zip 42	Latitude 42	Longitude 42	Notes 42
43	Item 5.3	Category 5	Sub-Category 5.3	Unit 43	4300	43.00	184900.00	Supplier 43	Address 43	Phone 43	Email 43	Website 43	Rating 43	Status 43	Type 43	Country 43	City 43	State 43	Zip 43	Latitude 43	Longitude 43	Notes 43
44	Item 5.4	Category 5	Sub-Category 5.4	Unit 44	4400	44.00	193600.00	Supplier 44	Address 44	Phone 44	Email 44	Website 44	Rating 44	Status 44	Type 44	Country 44	City 44	State 44	Zip 44	Latitude 44	Longitude 44	Notes 44
45	Item 5.5	Category 5	Sub-Category 5.5	Unit 45	4500	45.00	202500.00	Supplier 45	Address 45	Phone 45	Email 45	Website 45	Rating 45	Status 45	Type 45	Country 45	City 45	State 45	Zip 45	Latitude 45	Longitude 45	Notes 45
46	Item 5.6	Category 5	Sub-Category 5.6	Unit 46	4600	46.00	211600.00	Supplier 46	Address 46	Phone 46	Email 46	Website 46	Rating 46	Status 46	Type 46	Country 46	City 46	State 46	Zip 46	Latitude 46	Longitude 46	Notes 46
47	Item 5.7	Category 5	Sub-Category 5.7	Unit 47	4700	47.00	220900.00	Supplier 47	Address 47	Phone 47	Email 47	Website 47	Rating 47	Status 47	Type 47	Country 47	City 47	State 47	Zip 47	Latitude 47	Longitude 47	Notes 47
48	Item 5.8	Category 5	Sub-Category 5.8	Unit 48	4800	48.00	230400.00	Supplier 48	Address 48	Phone 48	Email 48	Website 48	Rating 48	Status 48	Type 48	Country 48	City 48	State 48	Zip 48	Latitude 48	Longitude 48	Notes 48
49	Item 5.9	Category 5	Sub-Category 5.9	Unit 49	4900	49.00	240100.00	Supplier 49	Address 49	Phone 49	Email 49	Website 49	Rating 49	Status 49	Type 49	Country 49	City 49	State 49	Zip 49	Latitude 49	Longitude 49	Notes 49
50	Item 5.10	Category 5	Sub-Category 5.10	Unit 50	5000	50.00	250000.00	Supplier 50	Address 50	Phone 50	Email 50	Website 50	Rating 50	Status 50	Type 50	Country 50	City 50	State 50	Zip 50	Latitude 50	Longitude 50	Notes 50
51	Item 6.1	Category 6	Sub-Category 6.1	Unit 51	5100	51.00	260100.00	Supplier 51	Address 51	Phone 51	Email 51	Website 51	Rating 51	Status 51	Type 51	Country 51	City 51	State 51	Zip 51	Latitude 51	Longitude 51	Notes 51
52	Item 6.2	Category 6	Sub-Category 6.2	Unit 52	5200	52.00	270400.00	Supplier 52	Address 52	Phone 52	Email 52	Website 52	Rating 52	Status 52	Type 52	Country 52	City 52	State 52	Zip 52	Latitude 52	Longitude 52	Notes 52
53	Item 6.3	Category 6	Sub-Category 6.3	Unit 53	5300	53.00	280900.00	Supplier 53	Address 53	Phone 53	Email 53	Website 53	Rating 53	Status 53	Type 53	Country 53	City 53	State 53	Zip 53	Latitude 53	Longitude 53	Notes 53
54	Item 6.4	Category 6	Sub-Category 6.4	Unit 54	5400	54.00	291600.00	Supplier 54	Address 54	Phone 54	Email 54	Website 54	Rating 54	Status 54	Type 54	Country 54	City 54	State 54	Zip 54	Latitude 54	Longitude 54	Notes 54
55	Item 6.5	Category 6	Sub-Category 6.5	Unit 55	5500	55.00	302500.00	Supplier 55	Address 55	Phone 55	Email 55	Website 55	Rating 55	Status 55	Type 55	Country 55	City 55	State 55	Zip 55	Latitude 55	Longitude 55	Notes 55
56	Item 6.6	Category 6	Sub-Category 6.6	Unit 56	5600	56.00	313600.00	Supplier 56	Address 56	Phone 56	Email 56	Website 56	Rating 56	Status 56	Type 56	Country 56	City 56	State 56	Zip 56	Latitude 56	Longitude 56	Notes 56
57	Item 6.7	Category 6	Sub-Category 6.7	Unit 57	5700	57.00	324900.00	Supplier 57	Address 57	Phone 57	Email 57	Website 57	Rating 57	Status 57	Type 57	Country 57	City 57	State 57	Zip 57	Latitude 57	Longitude 57	

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JAJODIA AND ASSOCIATES

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Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of the Companies {Management and Administration} Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Ladderup Finance Limited (hereinafter referred as “the Company”)** as required to be maintained under the Companies Act, 2013 (“the Act”) and the Rules made there under **for the financial year ended on 31st March, 2021.**

In my opinion and to the best of my information and according to the examinations carried out by me and information, explanations and declaration furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has generally been regular in complying with Provisions of the Act & Rules made there under in respect of:
 - 1. Its status under the Act i.e. **Public Limited Company.**
 - 2. Maintenance of registers/records and making entries therein; **(As per annexure- A)**
 - 3. Filing of forms and returns, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time **(As per annexure - B)**
 - 4. Calling, convening and holding meetings of Board of Directors, its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minutes Book and registers maintained for the purpose and the same have been signed.

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- C. The Company has closed its Register of members during the financial year under review.
- D. The Company is NBFC Company registered under Section 4SIA of the Reserve Bank of India, 1934 and hence Section 185 of the Act is not applicable to the Company.
- E. The contracts/arrangements with related parties as specified in section 188 of the Companies Act, 2013 during the financial year, as declared by the Management and by the Audit Committee, all the transactions were in the ordinary course of business and on Arm's Length basis and within the Board limits hence shareholders' approval was not required;
- F. There were no Issue or allotment or buy back of securities/ redemption of preference shares or
Debentures/ alteration or reduction of share capital/ conversion of shares/ securities transfer or
Transmission of shares during the financial year under review;
- G. The Company has recorded the transfer of equity shares within the time limit prescribed under the Act.
- H. The company, during the aforesaid financial year under review, has not kept in abeyance the rights to dividend and there were no rights shares and bonus shares pending registration of transfer of shares In compliance with the provisions of the Act
- I. The Company has not declared any dividend, and the company has unpaid/ unclaimed dividend with the company and the company is under the process of transferring the amount to an Investor Education and Protection Fund in accordance with section 125 of the Act;
- J. The Company, during the aforesaid financial year under review, has complied with the provisions of the Act in respect of signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
- K. During the year under review, the Board of Directors of the Company is duly constituted. Further during the financial year the company has done the following transaction in due compliance with the applicable provision of the Act:
 - 1. Mr. Paresh Patil has resigned w.e.f 18th July, 2021 as Company Secretary and Compliance Officer of the Company.

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2. Mr. Dhiraj Gupta appointed as Company Secretary and Compliance Officer of the Company of the w.e.f. 20th July, 2021.
 3. Mr. KVS Shyamsunder has resigned from the Independent Directorship of Company w.e.f. 15th January, 2021.
 4. Mrs. Mangala Prabhu has been re-designated as Independent Director of the Company, w.e.f 10th February, 2021.
 5. Mr. Sunil Goyal has been designated as Chairman cum Managing Director of the Company w.e.f 10th February, 2021
- L. The Company, during the aforesaid financial year under review, has complied with the provisions of Section 139 of the Act;
- M. There was no such instance where the approval required to be taken from the Central Government, Tribunal, Regional Director, Court or such other authorities under the various provisions of the Act;
- N. Company has not accepted/ renewed/ repaid any deposits during the financial year;
- O. The amount sanctioned to and borrowed by the Company from directors, members, public, financial institutions, banks and others as applicable during the period under review were within the borrowing limits of the Company
- P. As the Company is a Non-Banking financial Institution the provisions of Section 186 of the Act does not apply;
- Q. The Company has not altered any of the provisions of the Memorandum and or Articles of Association of the Company during the reporting period.

FOR JAJODIA AND ASSOCIATES

**PRITI NIKHIL JAJODIA
Company Secretary in Practice
Mem. No. 36944
COP No. 19900
UDIN:**

**Date:
Place:**

JAJODIA AND ASSOCIATES

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ANNEXURE "A"

BOOKS, PAPERS AND MINUTE BOOKS MAINTAINED BY THE COMPANY.

1. Book containing the Minutes of Board Meeting, General Meeting and Committee Meeting.
2. Book of accounts.
3. Register of Members.
4. Register of Transfer.
5. Register of Directors and Key Managerial Personnel and their shareholding.
6. Register of Charges.
7. Register of investment or loans made, guarantee or security provided.
8. Register of particulars of contracts.
9. Attendance Register.

FOR JAJODIA AND ASSOCIATES

**PRITI NIKHIL JAJODIA
Company Secretary in Practice
Mem. No. 36944
COP No. 19900
UDIN:**

Date:

Place:

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ANNEXURE "B"

FORMS AND RETURNS AS FILED BY THE COMPANY WITH THE REGISTRAR OF COMPANIES DURING THE FINANCIAL YEAR ENDED ON 31ST MARCH, 2021.

Sr. No.	Forms	Section	Date of filing	Particulars
1.	Form MGT-14	As per provisions of the Companies Act, 2013.	13/08/2020	<ol style="list-style-type: none">1. Approval of Standalone and Consolidated Financial Results.2. Resignation of Mr. Paresh Patil as Company Secretary and Compliance Officer of the Company.3. Appointment of Mr. Dhiraj Gupta as Company Secretary and Compliance Officer of the Company.4. Re-Appointment of Internal Auditor of the Company5. Approval of Directors Report.
2.	DIR-12	As per Section 168 and Section 203 of the Companies Act, 2013 respectively		<ol style="list-style-type: none">1. Resignation of Mr. Paresh Patil as Company Secretary and Compliance Officer of the Company.2. Appointment of Mr. Dhiraj Gupta as Company Secretary and Compliance Officer of the Company.
3.	Form MGT-15	Section 121 (1) of Companies Act, 2013.	19/10/2020	Report on Annual General Meeting
4.	Form MGT-14	Section 134 (3),(4) and (5) of the Companies Act, 2013.	19/10/2020	Filing of Resolution passed at the Annual General Meeting held Electronic Means

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5.	AOC- 4	Section 137 of the Companies Act, 2013	26-10-2020	Balance Sheet & Profit/Loss Account for the year ended 31 st March 2019.
6.	AOC- 4 CFS	Section 137 of the Companies Act, 2013	28-10-2020	Consolidated Financial Statements and other documents with the Registrar.
7.	Form MGT-7	Section 92(1) of the Companies Act, 2013	26/11/2020	Annual Return of the Company
8.	Form-IEPF-1	Section 124 (6) of the Companies Act, 2013.	01/12/2020	Statement of the amounts shares that have been transferred or credited to the Investor Education and Protection Fund (IEPF) in a given period
9.	Form-IEPF-2	Section 124 of the Companies Act, 2013.	01/12/2020	An Annual Amount transferred to the designated Account.
10.	Form MGT-14	As per the Provisions of the Companies Act, 2013.	08/12/2020	Appointment of Secretarial Audit Report
11.	Form DIR-12	Section 168 of the Companies Act, 2013	11/02/2021	Resignation of Mr. KVS Shyamsunder, (Independent Director) Due to Advanced Age.
12.	Form DIR-12	Section 161 (1) of the Companies Act, 2013	23/02/2021	Change in Designation of Mrs. Mangala Prabhu re-designate her as an Additional Independent Director of the Company by Board.
13.	Form-IEPF-4	Section 124 of the Companies Act, 2013.	31/03/2021	Statement of the shares transferred to IEPF Account.

Committee Meeting

Sr. No.	Type of Meeting	Date of Meeting	Total No. of members as on date of the meeting	Number of members attended	% of Attendance
1.	Audit Committee	18/07/2020	5	5	100
2.	Audit Committee	14/09/2020	5	5	100
3.	Audit Committee	11/11/2020	5	5	100
4.	Audit Committee	10/02/2021	4	4	100
5.	Nomination and Remuneration Committee	18/07/2020	3	3	100
6.	Stakeholder Relationship Committee	18/07/2020	3	3	100
7.	Stakeholder Relationship Committee	14/09/2020	3	3	100
8.	Stakeholder Relationship Committee	11/11/2021	3	3	100
9.	Stakeholder Relationship Committee.	10/02/2021	3	3	100
10.	Investment Committee	10/02/2021	3	3	100
11.	Corporate Social Responsibility Committee	10/02/2021	3	3	100
12.	Risk Management Committee	10/02/2021	3	3	100
13.	Credit Committee	10/02/2021	3	3	100