



Ladderup

Engineering Growth

To,
Department of Corporate Services,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

Scrip Code:-530577

Subject: Compliance under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019

Dear Sir / Ma'am,

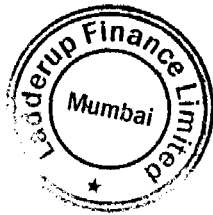
Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and above referred Circular, we enclose herewith Secretarial Compliance Report of Ladderup Finance Limited duly issued by M/s Jajodia & Associates, (COP No. : 19900) Practicing Company Secretary, for the financial year ended 31st March, 2021.

Kindly take the same in your records and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For Ladderup Finance limited



Dhiraj Gupta
Company Secretary & Compliance Officer

Place: Mumbai

Date: 31st May, 2021

Encl: As above

Ladderup Finance Limited

Regd. Office: 102 - A, 1st Floor,
Hallmark Business Plaza,
Sant Dyaneshwar Marg,
Near Gurunanak Hospital Road,
Bandra (East), Mumbai - 400 051

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E-Mail: info@ladderup.com
Website: www.ladderup.com
CIN: L67120MH1993PLC074278

JAJODIA AND ASSOCIATES

OFFICE NO. 30, LAXMI NIWAS, 2ND PANJRAPOLE LANE, C.P. TANK, MUMBAI - 400 004.
EMAIL : jajodiaassociate@gmail.com • Telephone No.: 022-22426755/7074

SECRETARIAL COMPLIANCE REPORT OF LADDERUP FINANCE LIMITED FOR THE YEAR ENDED 31ST MARCH, 2021

To,

Ladderup Finance Limited

A-102, 1st Floor, Hallmark Business Plaza,
Sant Dyaneshwar Marg, Opposite Guru Nanak Hospital,
Bandra East, Mumbai -400051.

I, **Priti Nikhil Jajodia**, Practicing Company Secretary have examined:

- All the documents and records made available to me and explanation provided by Ladderup Finance Limited ("the listed entity"),
- The filings/ submissions made by the listed entity to the stock exchanges,
- Website of the listed entity.
- Any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **31 March, 2021 ("Review Period")**.

In respect of compliance with the provisions of:

- The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, guidelines issued thereunder; and
- The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable to the Company during the review period)**
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable to the Company during the review period)**



- E. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable to the Company during the review period)**
- F. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable to the Company during the review period)**
- G. Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable to the Company during the review period)**
- H. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- I. Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;
- J. Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act, 2013 and dealing with the Client and circulars/ guidelines issued thereunder.

And based on the above examination, I hereby report that, during the review Period:

- I. The listed entity has complied with the provisions of the above Regulations, Circulars and guidelines issued thereunder except in respect of matters specified below;

| Sr. No | Compliance Requirement (Regulations, Circular and guidelines) | Deviation | Observation / Remarks of practicing Company Secretary |
|--------|---|-----------|---|
| | NIL | NIL | NIL |

- II. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those Records.

- III. The following are the details of actions taken against the listed entity/ its promoters/directors/ Material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

| Sr. No. | Action Taken By | Details of violation | Details of action taken E.g. Fines, Warning , letter , debarment etc. | Observations/ remarks of Practicing Company Secretary |
|---------|-----------------|----------------------|---|---|
| | NIL | NIL | NIL | NIL |



IV. The listed entity has taken the following actions to comply with the observation made in previous Reports: **Not Applicable.**

| Sr. No. | Observation of the practicing Company Secretary in the previous report | Observation made in the Secretarial compliance report for the year ended (The years are to be mentioned) | Action taken by the listed entity , if any | Comments of the practicing Company Secretary on the Action taken by the listed entity |
|---------|--|---|--|---|
| | NA | NA | NA | NA |

For Jajodia and Associates



Priti Nikhil Jajodia
Company Secretary in Practice
Mem. No. 36944
Cop No. 19900
UDIN: A036944C000390891

Date: 29.05.2021
Place: MUMBAI