



Ladderup

Engineering Growth

To,
Corporate Relationship Department
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai-400 001

Scrip Code-530577

Ref: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019

**Sub: Secretarial Compliance Report under Regulation 24A of SEBI
(LODR) Regulation, 2015, for the financial year ended 31st March 2022**

Dear Sir/Ma'am,

With the reference to the subject captioned above, we enclose herewith Annual Secretarial Compliance Report duly issued by M/s Bhumika & Co, Practicing Company Secretaries, for the financial year ended March 31, 2022

We request you to kindly take note of the above in your records.

Thanking you.

For Ladderup Finance Limited



Dhiraj Gupta
Company Secretary and Compliance Officer

Date: 17th May, 2022

Place: Mumbai

Encl: As Above

Ladderup Finance Limited

Regd. Office: 102 - A, 1st Floor,
Hallmark Business Plaza,
Sant Dyaneshwar Marg,
Near Gurunanak Hospital Road,
Bandra (East), Mumbai - 400 051

Tel.: +91 22 4246 6363
Fax: +91 22 4246 6364
E-Mail: info@ladderup.com
Website: www.ladderup.com
CIN: L67120MH1993PLC074278

CS BHUMIKA & CO.

Company Secretaries

B/618, Jaswanti Business Allied Centre,
Off. Ramchandra Lane, Ext. Kachpada,
Malad (W), Mumbai - 400 064
E-mail : bhumika.sidhpura@gmail.com
M: +91 98200 30491

To,
LADDERUP FINANCE LIMITED
A-102, HALLMARK BUSINESS PLAZA,
SANT DYANESHWAR MARG, OPPOSITE
GURU NANAK HOSPITAL, BANDRA EAST
MUMBAI MH 400051 IN

Dear Sir/Ma'am,

Annual Secretarial Compliance Report for the Financial Year 2021-22

We have been engaged by **Ladderup Finance Limited** (hereinafter referred to as "the Company") bearing CIN: L67120MH1993PLC074278 who's Equity Shares are listed on ~~National Stock Exchange of India Limited~~ and/or BSE Limited to conduct an audit in terms of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended read with SEBI's Circular No. CIR/CFD/CMD1/27/2019 dated 08th February, 2019 and to issue the Annual Secretarial Compliance Report thereon.

It is the responsibility of the management of the Company to maintain records, devise proper systems to ensure compliance with provisions of all applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and to ensure that the systems are adequate and are operating effectively.

Our responsibility is to verify compliances by the Company with provisions of all applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and issue a report thereon.

Our audit was conducted in accordance with Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner which involved such examinations and verifications as considered necessary and adequate for the said purpose.

Annual Secretarial Compliance Report is enclosed.

Thanking You,

For Bhumika & Co.
Practicing Company Secretary



Bhumika Sidhpura
Proprietor
Membership No.: 37321
COP No.: 19635
Place: Mumbai
Date: 17.05.2022



**SECRETARIAL COMPLIANCE REPORT OF
LADDERUP FINANCE LIMITED
FOR THE YEAR ENDED MARCH 31, 2022**

I have examined:

- (a) all the documents and records made available to me and explanation provided by **LADDERUP FINANCE LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the financial year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, as amended from time to time, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not Applicable**;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable**;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; **Not Applicable**;
- (f) The Securities and Exchange Board of India (Issue and Listing of Non – convertible Securities) Regulations, 2021; **Not Applicable**;
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021-; **Not Applicable**;
- (i) Securities and Exchange Board of India (Depositories and Participant) Regulation, 2018.

And circulars and guidelines issued thereunder;

And based on the above examination, I hereby report that during the Review Period:



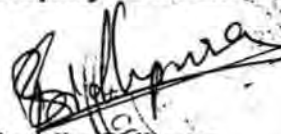
- (a) The Company has suitably included the conditions as mentioned in Para 6(A) and 6(B) of SEBI Circular CIR/CFD/CMD1/114/2019 dated October 18, 2019 in terms of appointment of statutory auditor of the listed entity;
- (b) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (c) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (d) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
	NA	NA	NA	NA

- (e) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2021 (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
	NIL	NIL	NIL	NIL

For Bhumika & Co
Company Secretaries



Bhumika Sidhpura
Membership No: A37321
Certificate of Practice No. 19635
Peer Review No: 1272/2021
UDIN: A037321D000331263

Place: Mumbai
Date: 17.05.2022